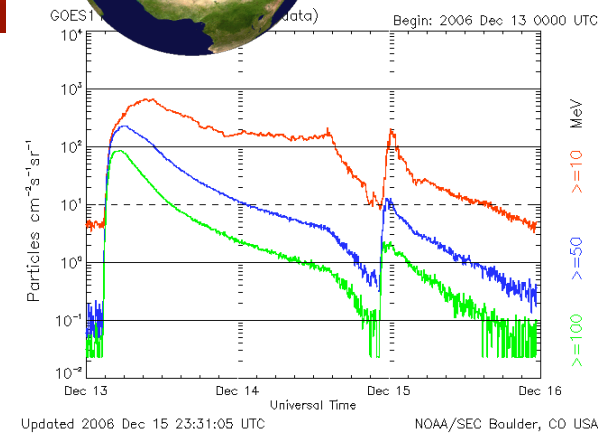
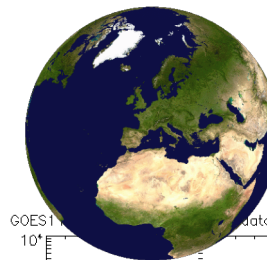
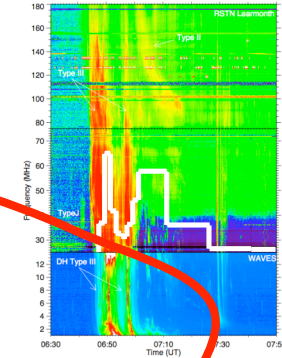
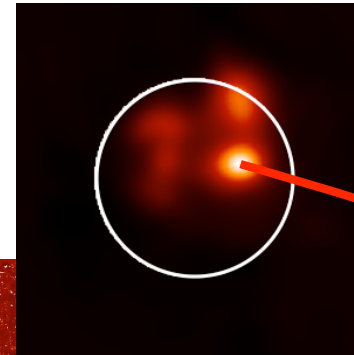
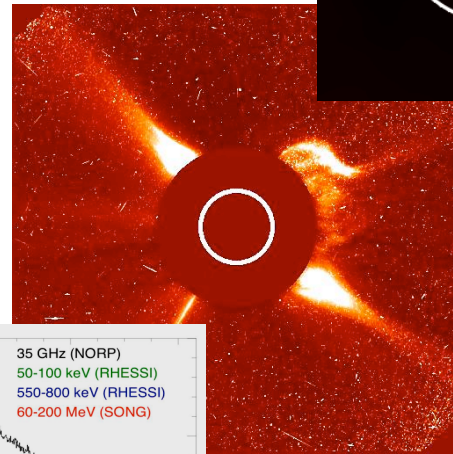
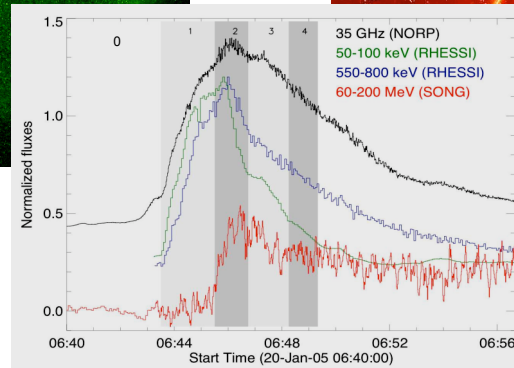
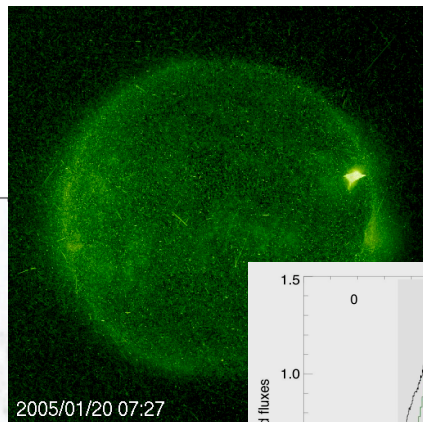
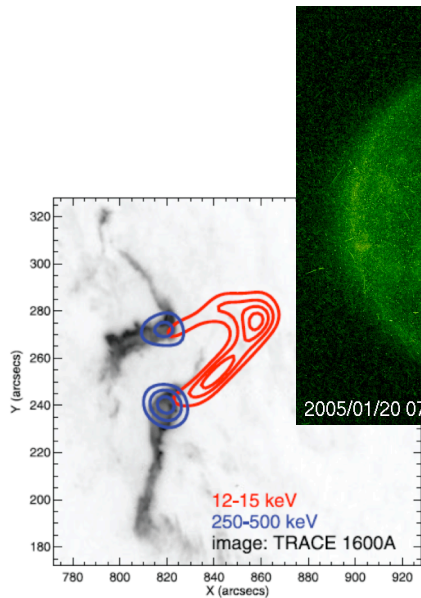


# Solar Energetic Particle: origin and space weather relevance

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Karl-Ludwig Klein

[gerard.trottet@obspm.fr](mailto:gerard.trottet@obspm.fr),



ISWI Quito 8-12 October 2012

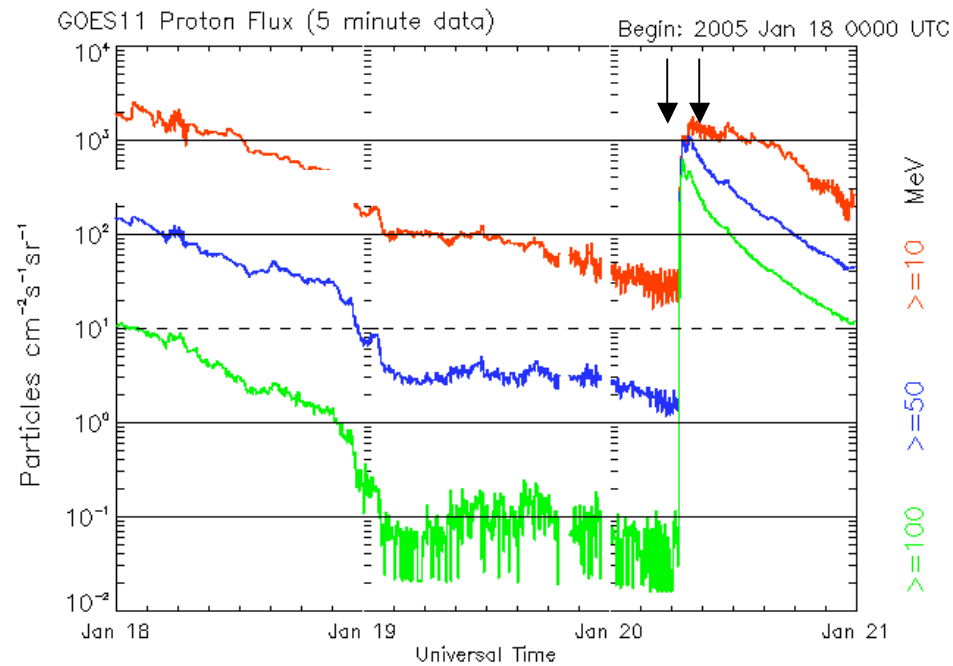
# Outline

- Detection of SEPs
- Reasons to study SEPs
- Relevance of SEPs for SW
- Scenarios of particle acceleration
- Particle transport
- Where are SEP accelerated?
- Concluding remarks

# Example of SEP + GLE event

Enhanced fluxes of energetic particles above the GCR associated with solar activity first reported by Forbush in 1946

## SEP

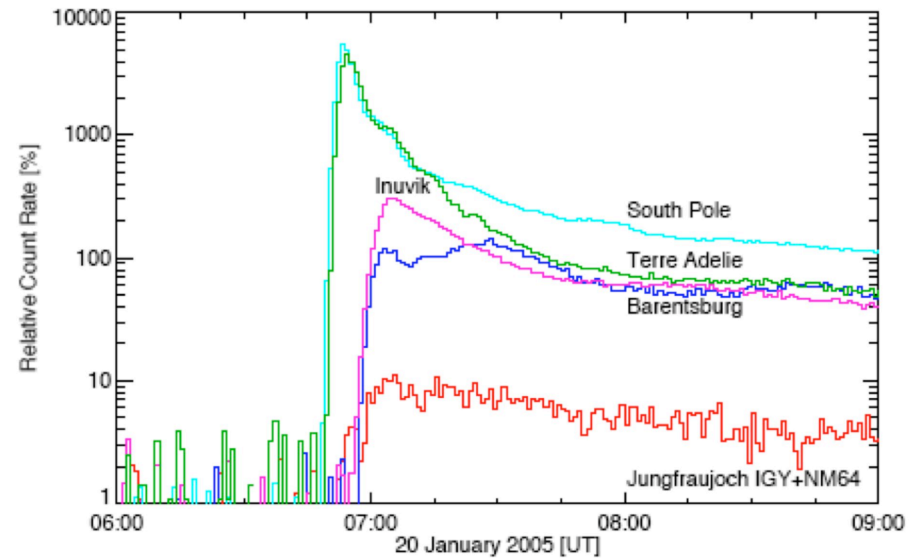


Updated 2005 Jan 20 23:56:04 UTC

NOAA/SEC Boulder, CO USA

## GLE

Bütikofer et al. 2009

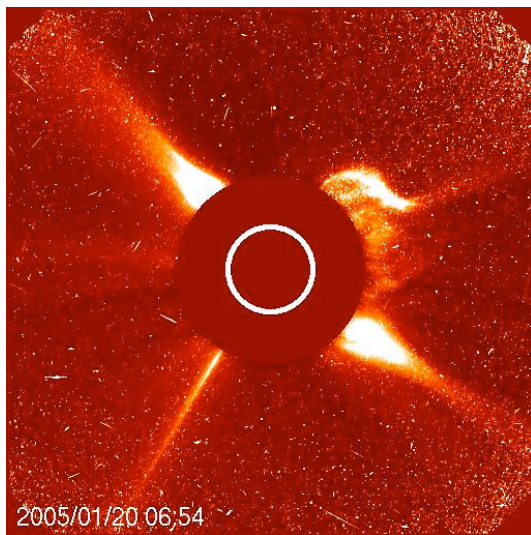


# Detection of SEP + GLE (protons and ions)

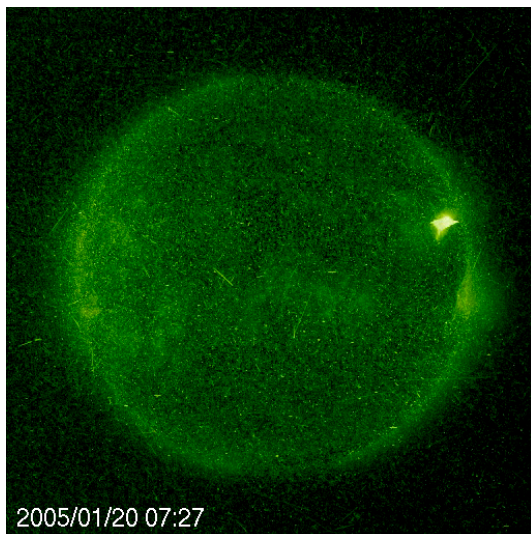
- In situ measurements by space born instruments (**SEP**):
  - Time profile and differential energy spectrum in the  $\sim 1$ -100s MeV range
  - Elemental composition
  - Charge states
  - Pitch angle distribution
- Measurements at ground level (**GLE**):
  - **Neutron monitor network**: time profile and integral energy (rigidity) spectrum in the  $\sim 0.45$  -17 GeV range, anisotropy of incident particles. [www.nmdb.eu](http://www.nmdb.eu) (K-L; Klein and N. Fuller for Paris observatory). Data base at Kiel University:  **$\sim 40$  NM stations (Europe, Asia, US,...)**. Visualization and data retrieval; on line help in 12 languages.
  - **Muon telescopes: integral flux at** higher energies (ground level and under ground)
  - **VLF measurements**

# Solar energetic particle (SEP) events

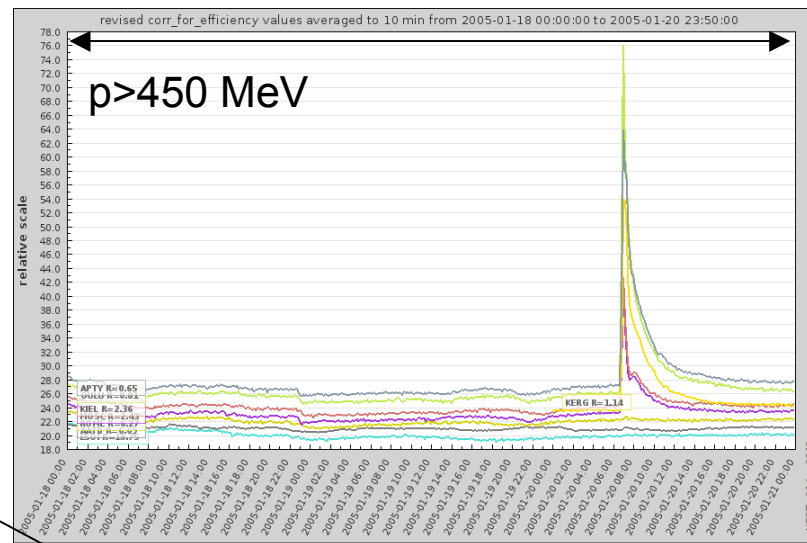
## Protons at 1 AU and associated coronal activity



CME

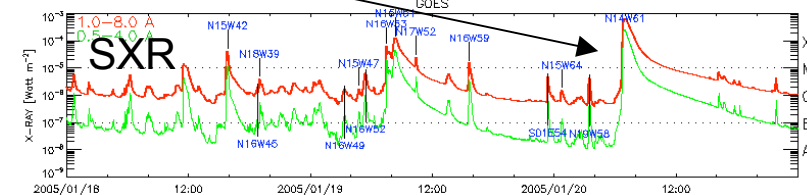
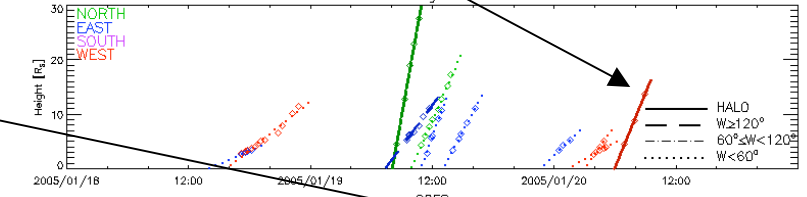
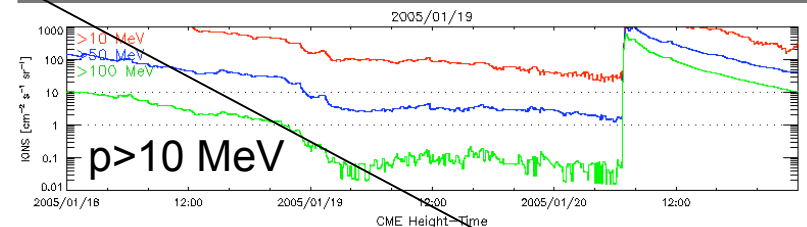


flare



3 days

[www.nmdb.eu](http://www.nmdb.eu)



[cdaw.gsfc.nasa.gov/CME\\_list/](http://cdaw.gsfc.nasa.gov/CME_list/)

# Particle acceleration in flares and CMEs

Solar Energetic particles: protons, ions from 100s of keV to 10s of GeV:

- carry a major fraction of the energy released during a flare or a CME
- are an ubiquitous component of the Universe and a key to understanding its radiative signatures
- can be studied at the Sun by an otherwise unavailable combination of observations, including remote sensing and *in situ* measurements.
- are an important element of space weather

# Relevance of SEPs for SW

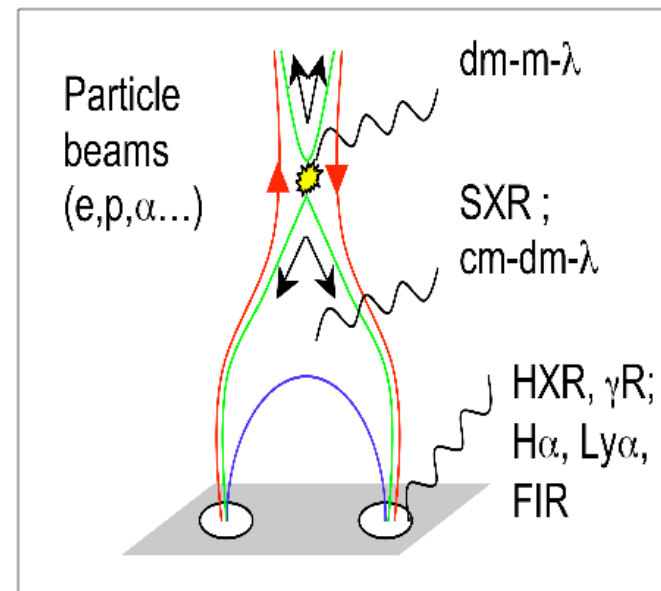
- **PCA: ionization of the D layer of the polar ionosphere:**
  - Can last several days
  - Alter mid and long distance communications in the HF band, blackout
  - GPS: false position, no reception of satellite signal
  - Eruptions October-November 2003: several flights over the pole have lost communication with ground and could not use GPS for > 1 hour
- **Satellites and launchers**
  - Degradation of on board electronic (worst orbits IP space no magnetospheric protection)
  - Risk of an Ariane 5 failure during an SEP can be > 1%.
- **Human being:**
  - In the atmosphere **GCR** and SEP (30-200 MeV,  $A \leq 2$ ): received doses increase with latitude (magnetic cut-off) and altitude (atmospheric cut-off):  
[www.sievert-system.org](http://www.sievert-system.org): computation of received radiation doses during air flights, empirical models for SEP
  - Mission to Moon or Mars: SEP dangers depend on characteristics of the event (total fluence, spectral hardness, composition) but can be extreme (e.g. Turner 2006)

**SEP forecast**

# Particle acceleration by flares

“Flare acceleration”:

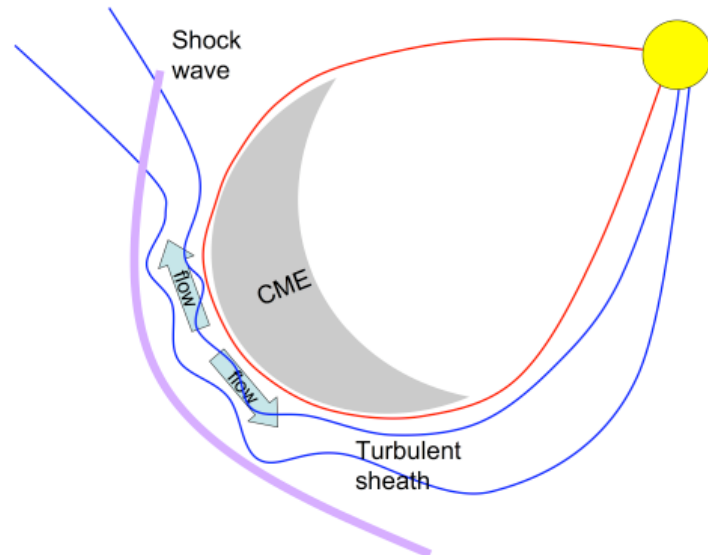
SEP accelerated in the corona together with the particles radiating  $\gamma$ R, HXR, radio; release into open flux tubes, escape towards IP space.



after K.-L. Klein., Sol Orbiter Workshop, Athens, ESA-SP



# Particle acceleration by CMEs



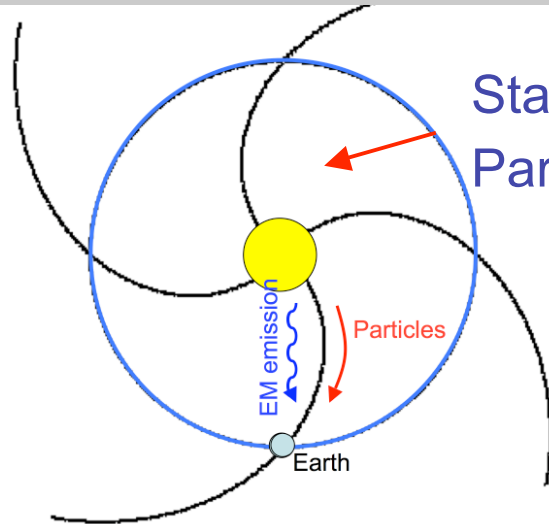
after Y. Liu et al., J. Geophys. Res., 111, A09108

- CME shock acceleration: SEP accelerated at the bow shocks of fast CMEs (corona and IP space).

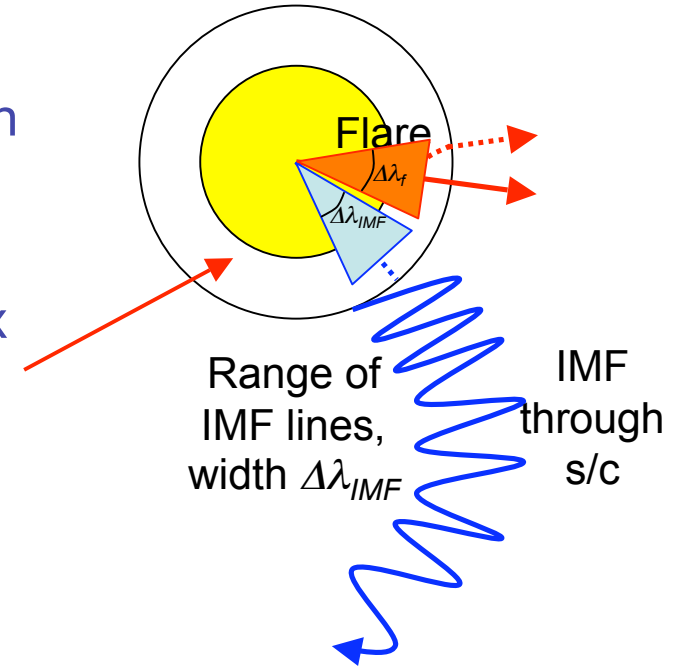
- Site(s) of SEP acceleration in the magnetically stressed post-CME corona (cf. Litvinenko SP 1996, ApJ 2006; Craig & Litvinenko ApJ 2002) → GeV ?
- Consistent with earlier analyses of relativistic SEP events (Akimov et al. 1996 SP; Klein et al. 1999, 2001 A&A) and mildly relativistic electrons (Maia et al. 2007 ApJ)

# Transport: SEP events and IMF configuration

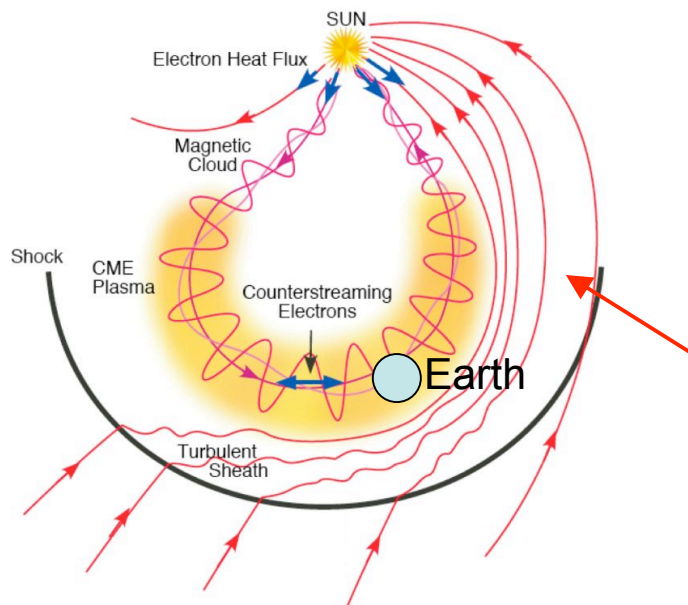
## The IP path of SEP



Standard picture:  
Parker spiral IMF connection



More complex picture

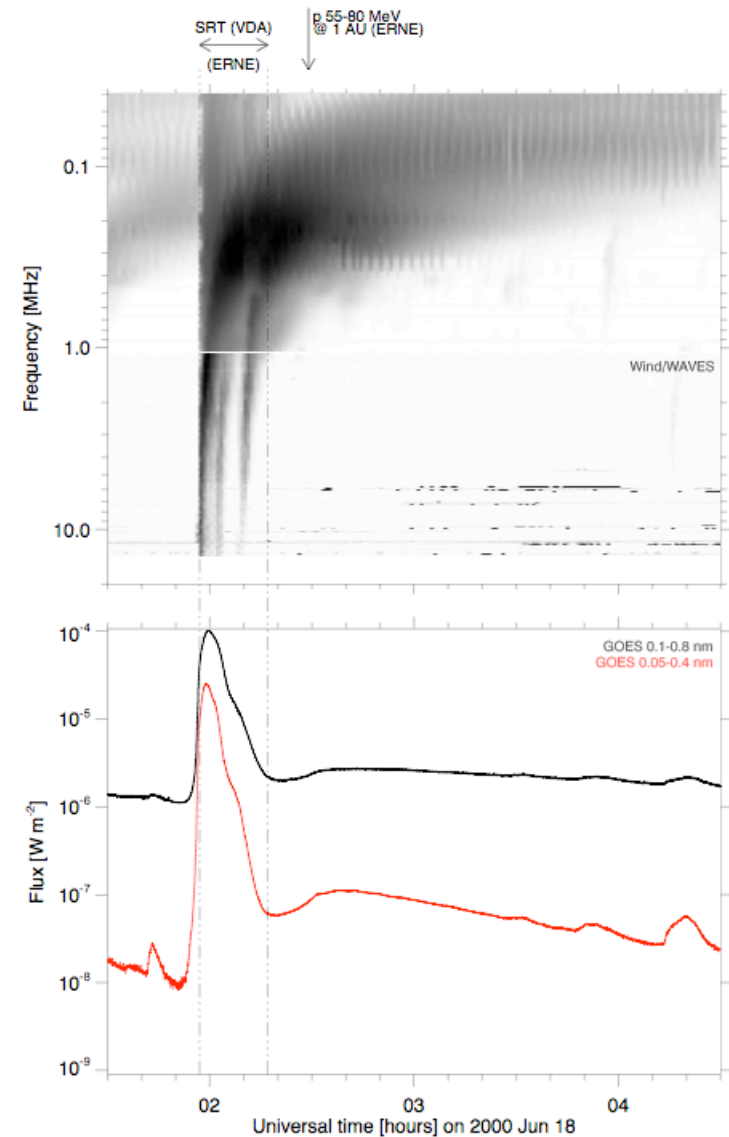


Alternative: ICME ⇒ SEP released onto field lines of an earlier CME whose front has reached the Earth

70% of GLE detected during cycle 23 where in the vicinity of an ICME (Masson et al. 2011)

# Why SEP acceleration by flares?

- Flares accelerate e and ions in the corona (X,  $\gamma$ , radio) up to energies consistent with measurements at 1 AU
- Flare accelerated particles may be confined in the corona without associated CME on occasion (Klein et al. 2010, 2011) but not in general (Cane et al. 2010)
- Particles escaping in IP space can be observed at Earth provided magnetic connection.

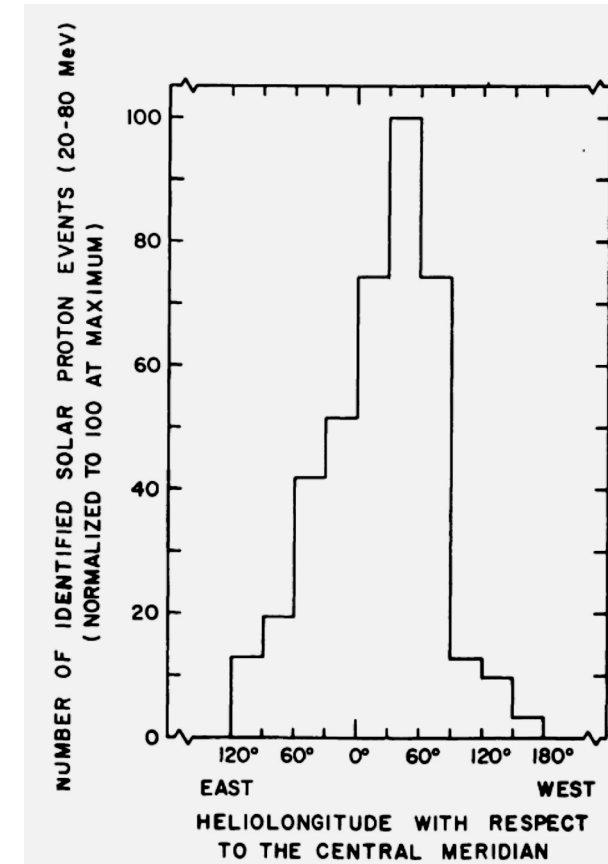


Courtesy E. Valtonen (Turku)

# Why SEP acceleration at CME shocks?

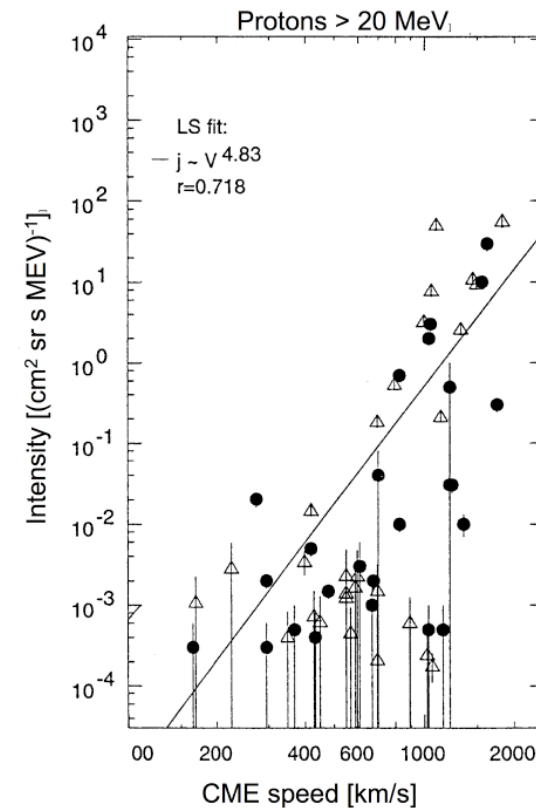
- CME shocks accelerate particles in IP space (in situ observations). But radio quiet CMEs no SEP (Marqué et al.2006; Gopalswamy et al. 2008)
- Long durations of large SEP events (several days) at energies up to deka-MeV, much longer than flare energy release. Consistent with acceleration as CME + shock propagate from Sun to Earth
- Association of some large SEP events with active regions far from the Earth-connected IMF line. CME shocks are broad accelerators that may establish a connection to the Earth and explain SEP onset delays at separated spacecraft (cf. Rouillard et al. 2011 ApJ 735, 7; 2012 ApJ 752, 44).

Reasons valid for protons at MeV to deka-MeV energies, not necessarily for relativistic protons.



# Correlation SEP intensity - solar activity

- Intensities of protons  $>10$  MeV in SEP events correlate with CME speed ( $V_{CME}$ ) and SXR peak flux ( $I_{SXR}$ ) (Gopalswamy et al. 2004, JGR 109, A12105)
- All correlations are noisy:
  - Kahler (2001, JGR 106, 20947): pre-event particle intensity (=seed population for CME shock acceleration ?)
  - Gopalswamy et al. 2004: CME interaction
  - Garcia (2004, Spa Wea 2, S0202): combination of SXR parameters ( $I_{SXR}$ ,  $EM$ , duration)
- Problem: SEP measured in a single point, after a long IP travel (scattering, ... ): IP transport, magnetic connection
- Influence of the IMF configuration ?



Kahler 2001 JGR 106, 20947

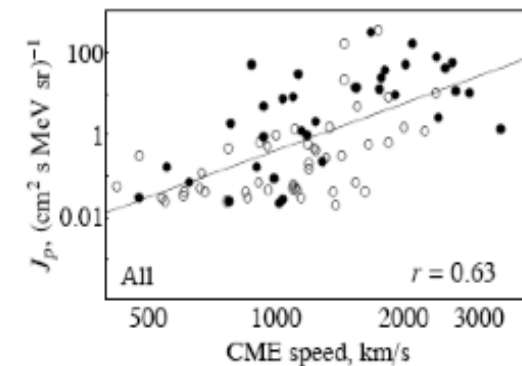
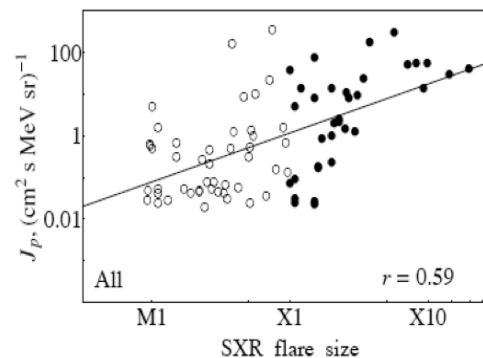
# $J(\text{SEP})-I_{\text{SXR}}-V_{\text{CME}}$ correlation

## SEPs in the solar wind (SoWi events) and in ICMEs

All SEP events:

- $J(p)/V_{\text{CME}} = 0.63 \pm 0.05$
- $J(p)/I_{\text{SXR}} = 0.59 \pm 0.07$

Miteva et al. 2012

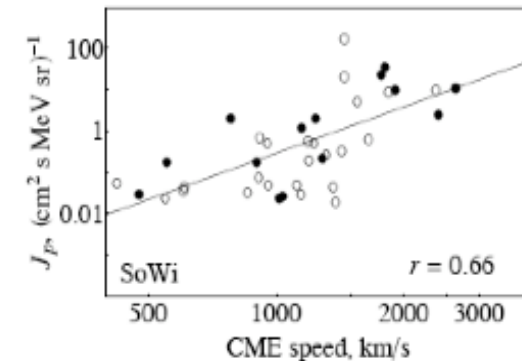
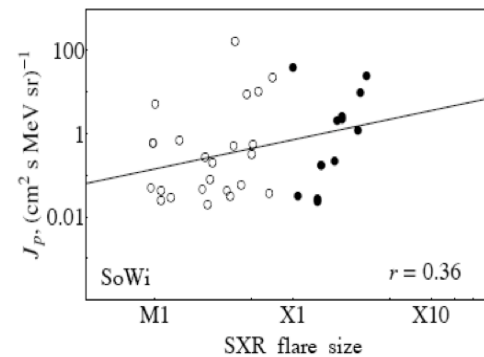


# $J(\text{SEP})-I_{\text{SXR}}-V_{\text{CME}}$ correlation

## SEPs in the solar wind (SoWi events) and in ICMEs

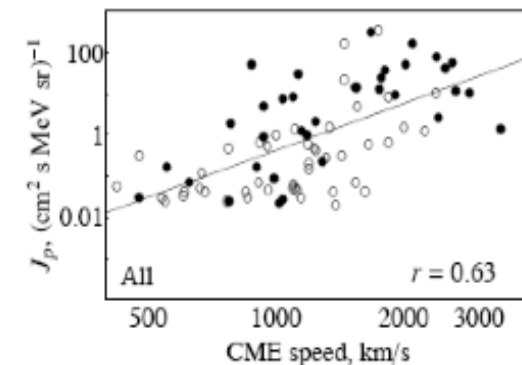
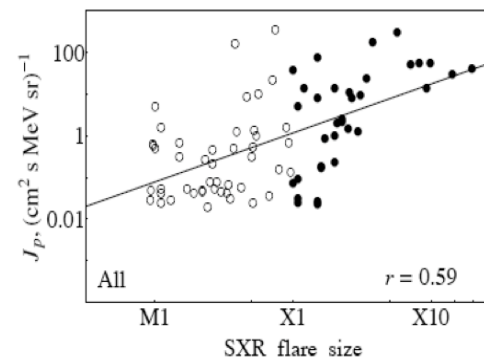
### SoWi events:

- $J(\text{SEP}) / V_{\text{CME}}$  unchanged
- $J(p) / I_{\text{SXR}} = 0.36 \pm 0.13$



### All SEP events:

- $J(p) / V_{\text{CME}} = 0.63 \pm 0.05$
- $J(p) / I_{\text{SXR}} = 0.59 \pm 0.07$

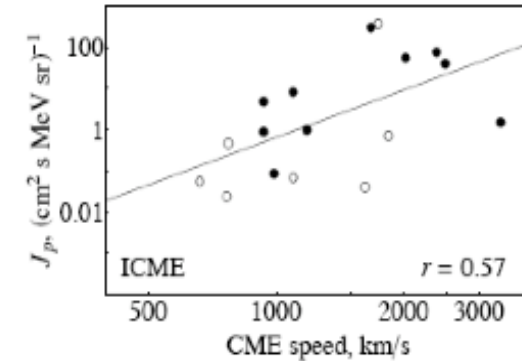
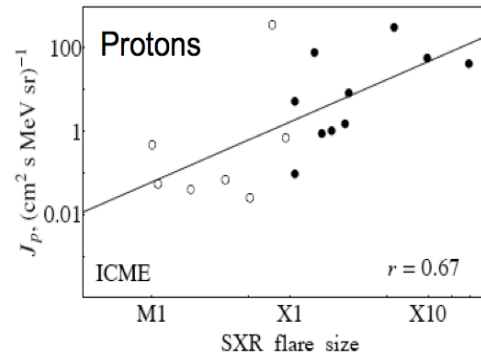


# $J(\text{SEP})-I_{\text{SXR}}-V_{\text{CME}}$ correlation

## SEPs in the solar wind (SoWi events) and in ICMEs

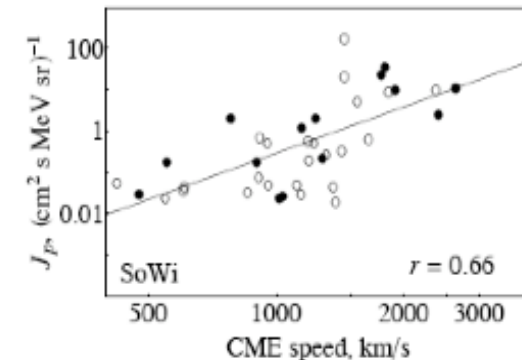
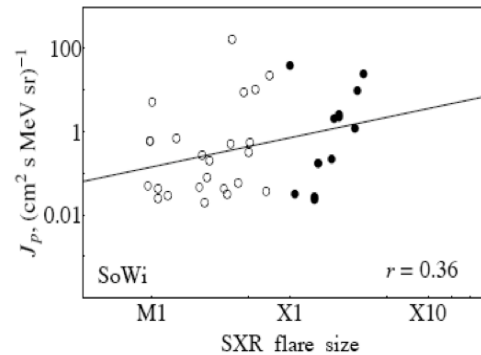
### ICME events:

- $J(\text{SEP}) / V_{\text{CME}}$  unchanged
- $J(p) / I_{\text{SXR}} = 0.67 \pm 0.13$



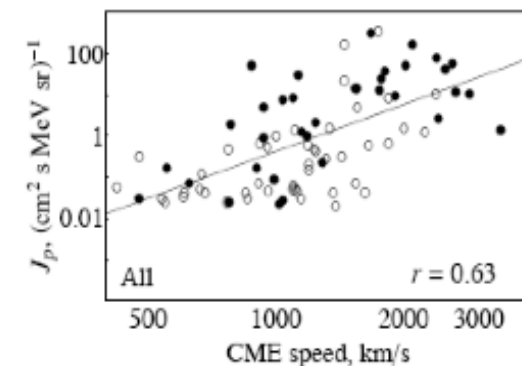
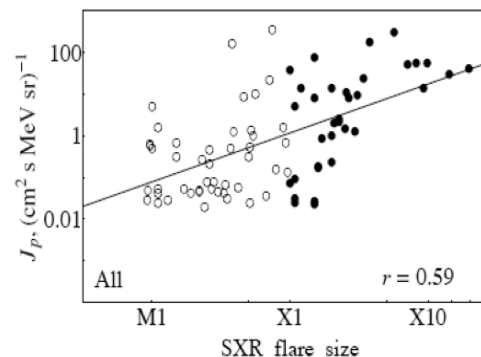
### SoWi events:

- $J(\text{SEP}) / V_{\text{CME}}$  unchanged
- $J(p) / I_{\text{SXR}} = 0.36 \pm 0.13$



### All SEP events:

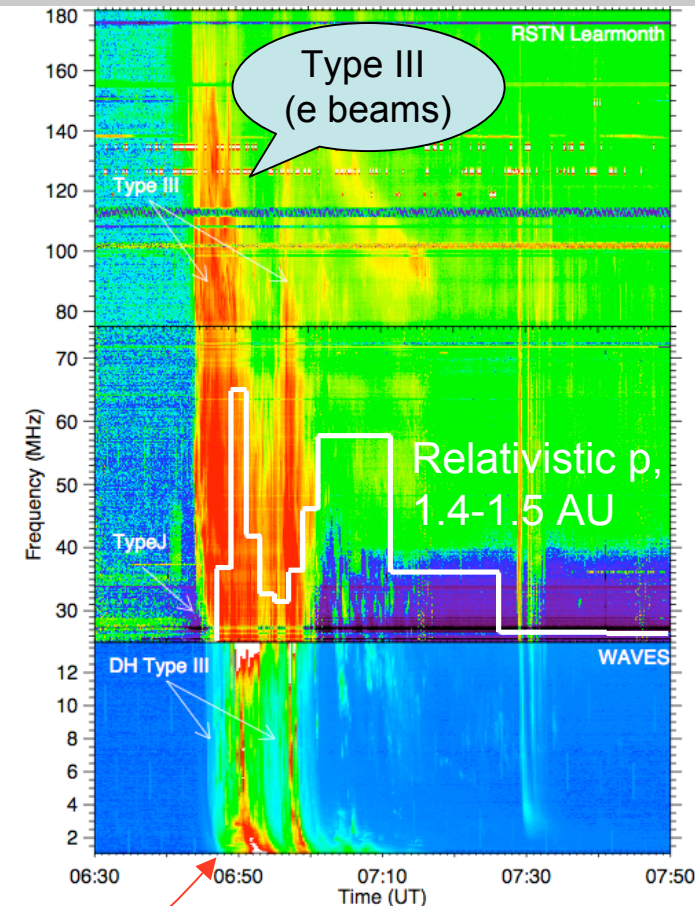
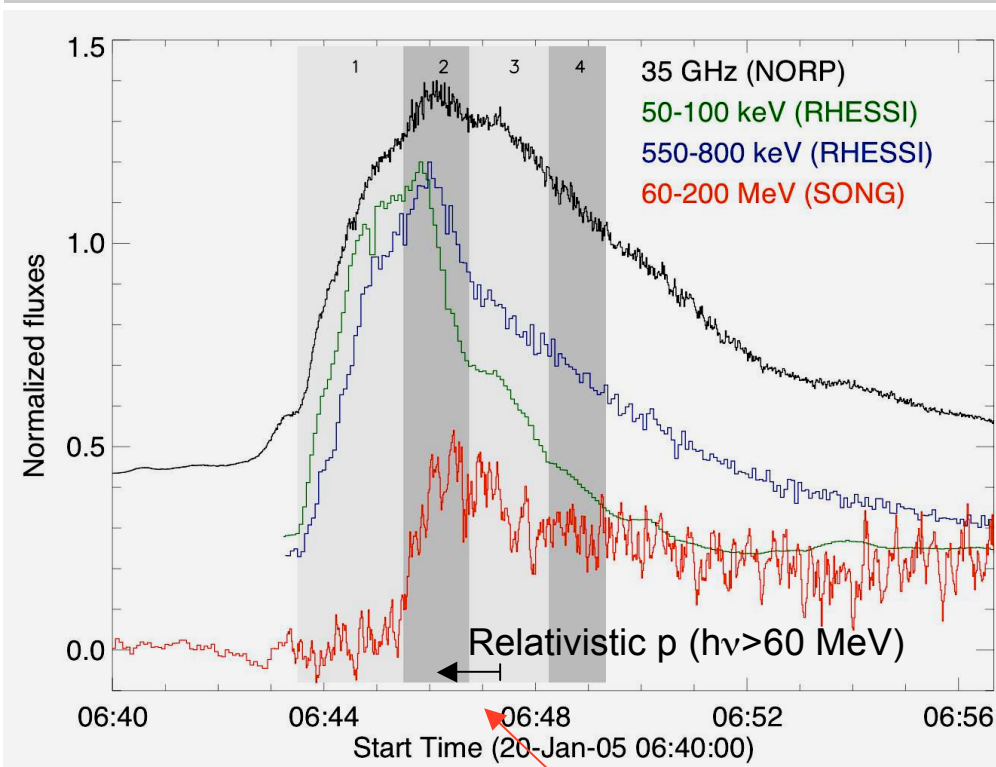
- $J(p) / V_{\text{CME}} = 0.63 \pm 0.05$
- $J(p) / I_{\text{SXR}} = 0.59 \pm 0.07$





# Case study: GLE on 2005 Jan 20

## Interacting and escaping relativistic protons



Masson et al. 2009 SP 257, 305

- Arrival at Earth if relativistic protons escape together with type III electrons  
 $\Rightarrow s = 1.4 - 1.5$  AU (ICME; Masson et al 2012 A&A 538, A32)
  - Solar release near time of onset  $h\nu > 60$  MeV
  - Similar durations of the release of relativistic p and radio emitting e
- Closely related acceleration of first interacting and escaping relativistic p (see also Simnett 2006 A&A, Grechnev et al 2009 SP, McCracken et al. 2009 JGR)

# High-energy particles at and from the Sun

## Summary

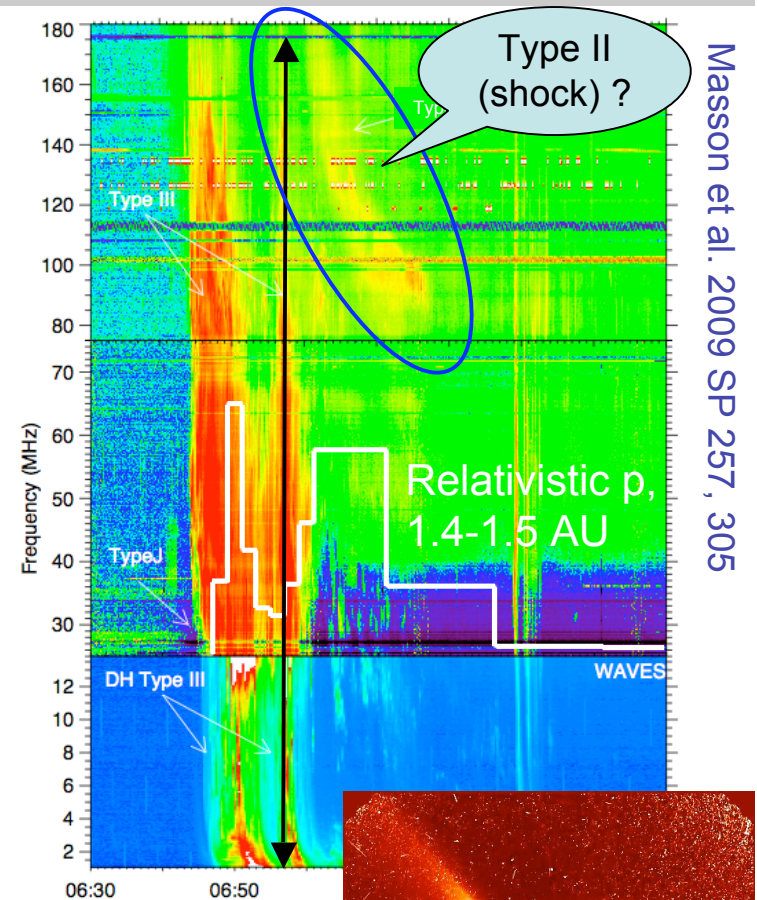
- Flare acceleration is expected to release SEP to space (confinement in the corona is rare !) that are detectable at Earth when a magnetic connection exists.
- There are clear indications (timing) that relativistic protons detected at 1 AU may be related to the impulsive flare phase
- CME shock acceleration is an attractive explanation of broad injection cones and long durations of SEP, but is not the only means by which a CME can contribute to SEP. Acceleration of relativistic SEP at CME shocks is not demonstrated by the observations.
- **Future:**
  - Exploit 23rd & 24th cycle (in situ +RS); FERMI
  - We need to go close to the Sun: *Solar Orbiter*, *Solar Probe* +
    - shock acceleration close to the Sun, seed populations
    - time evolution of SEP events with less smearing by IP transport

Thanks for your attention

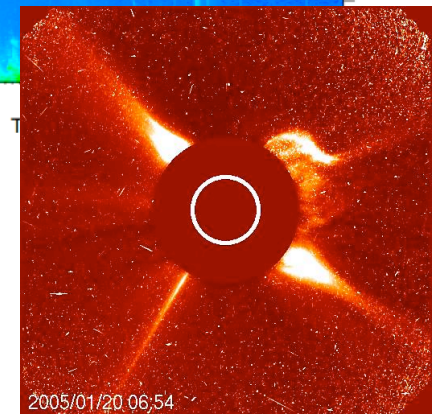
# Relativistic SEP, flares and shocks: 2005 Jan 20

## Escaping relativistic protons 2

- 2<sup>nd</sup> peak of relativistic p profile
  - not related with conspicuous HXR/ $\mu$  wave emission
  - at the time of a new m-Dm- $\lambda$  type III, a drifting narrow-band m- $\lambda$  burst (type II=shock wave ???) and broadband synchrotron emission.
- Origin of slowly drifting radio burst :  $r < 2 R_0$  (well below CME front)
- If shock acceleration (type II burst): not in front of the CME, where it is generally expected.
- Is the radio burst really a shock signature (= type II) ?



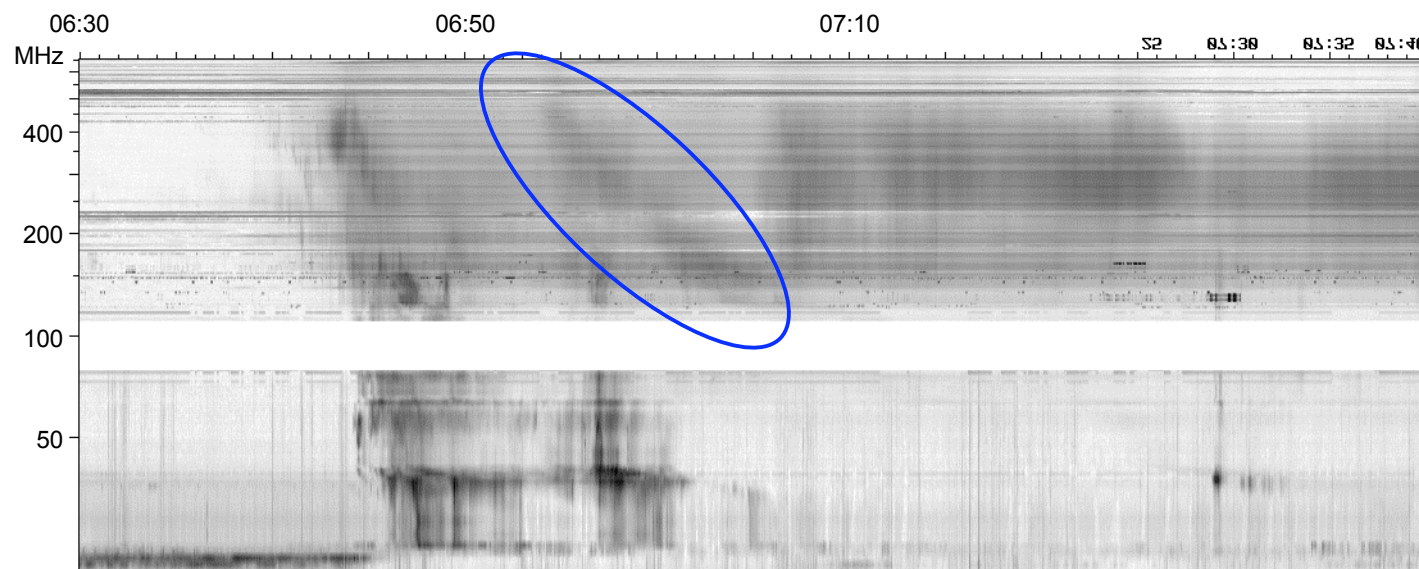
Masson et al. 2009 SP 257, 305



# Relativistic SEP, flares and shocks: 2005 Jan 20

## Escaping relativistic protons 2

- ARTEMIS (Univ. Athens) radio spectrum:
    - type II = shock wave?
    - But: none of the typical II fine structures
  - Type IV burst: reconnection in current sheet behind CME (cf. Cliver et al. 1986, Kocharov et al. 1994, Akimov et al. 1996, Klein et al. 1999, 2001, Aurass et al. 2009 A&A 506, 901)
  - Accompanied by new energy release in the low corona (brightening of UV ribbons; Grechnev et al. 2008)
- Distinct acceleration from previous impulsive one; related to magnetic reconnection in the post-CME corona.



Bouratzis et al. 2010  
Solar Phys 267, 343

Klein, Masson et al.,  
work in progress

# Particles at the Sun and in space

Estimated numbers vary widely from event to event

$$R_p = N_p(\text{Interacting}) / N_p(\text{escaping})$$

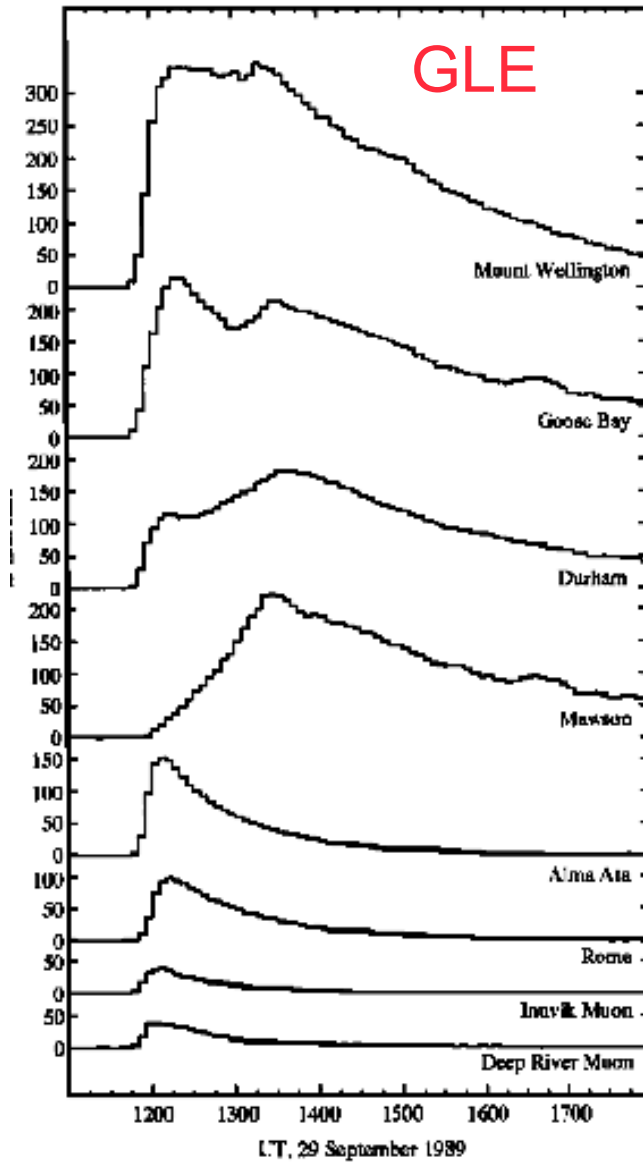
$R_p < 1$  or  $> 1$  for protons  $> 30$  MeV (Ramaty et al. 1993)

Interacting and escaping protons have accelerators of comparable efficiency (on average)

# SEP event: example 2

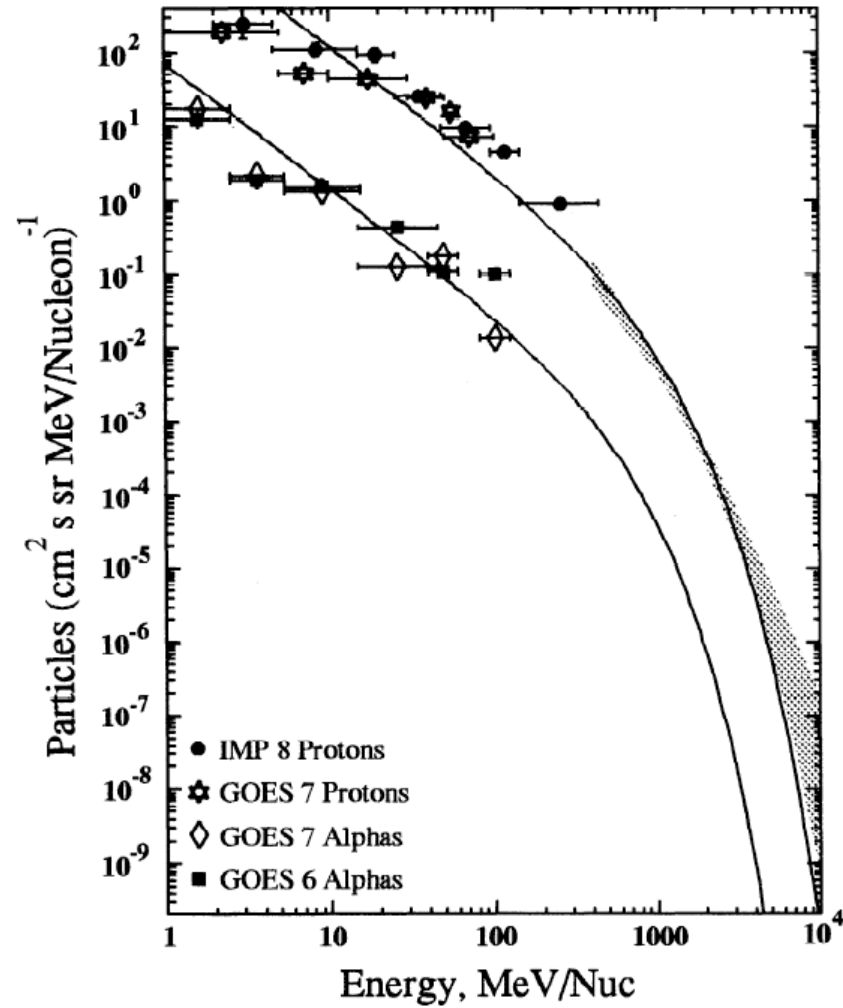
Energy spectrum (SEP + GLE) 1989 Sep 29

Lovell et al. 1998



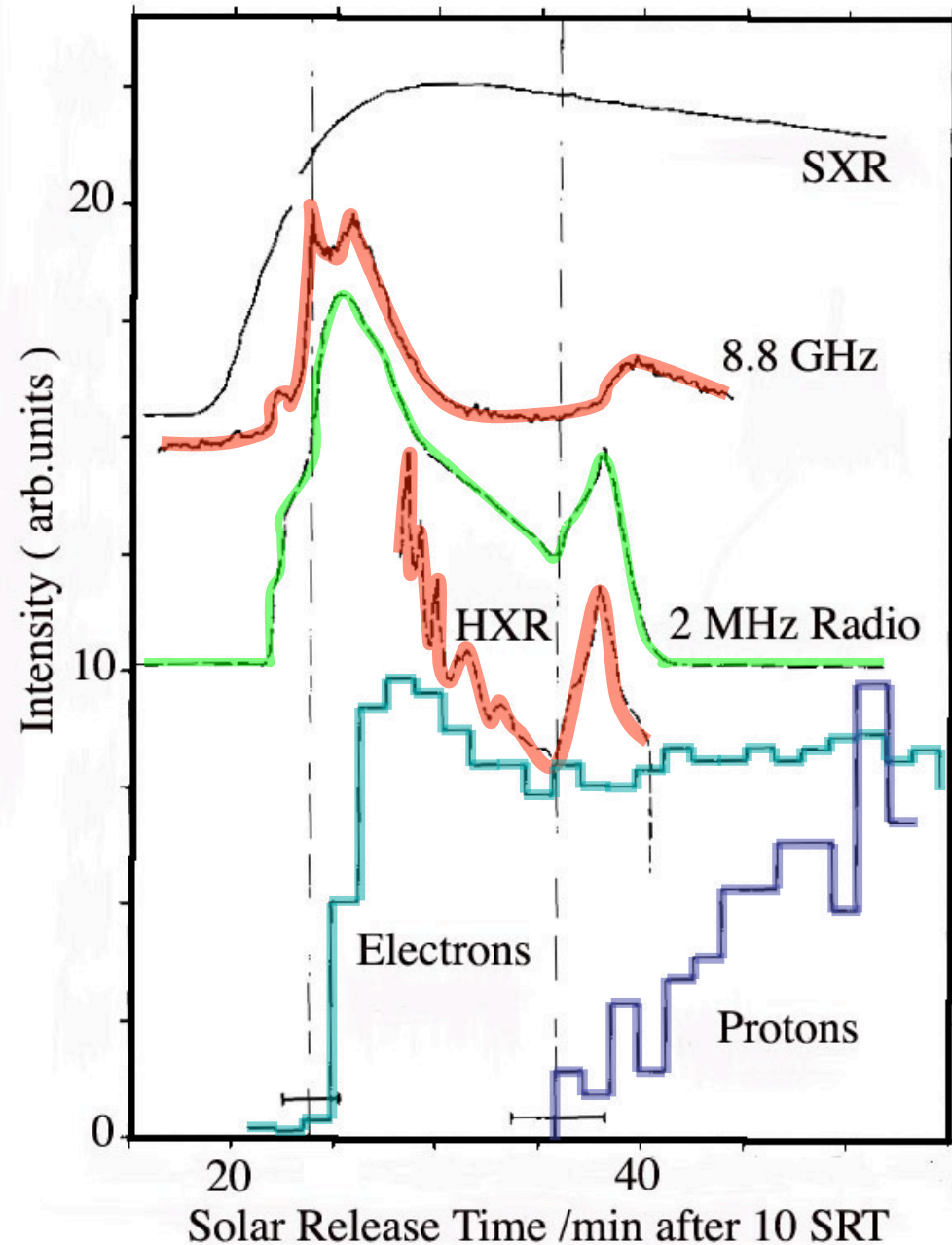
NM

muon T



# HELIOS measurements of SEP at 0.38 AU

- SEP release : e first, p ~10 min later
- e acceleration in the corona (HXR,  $\mu$  waves) : 2 groups of peaks
- e escape from corona (type III) : with the 2 episodes of coronal acceleration
- Close time correspondence coronal acceleration / SEP
- e/p ratio closely related with coronal acceleration  $\Rightarrow$  separate « flare » and « CME » contributions





## Impulsive and gradual SEP (Reames, 1999)

Impulsive (flare)	Gradual (CME)
Fe/O ~1	Fe/O ~0.1
$^3\text{He}/^4\text{He}$ ~0.1-1	$^3\text{He}/^4\text{He}$ ~0.01
$Q_{\text{Fe}}$ ~20	$Q_{\text{Fe}}$ ~10-14

### Oversimplified picture (see Klecker et al. 2006)

- Particles may originate in dense plasma in the low corona even in gradual SEP
- Enrichment in  $^3\text{He}$  common in IP shock accelerated SEP
- Enrichments in heavy ions often observed in large events at high energies

### Explanations:

- Supra thermal population from previous impulsive SEP (Mason et al. 1999)
- Interplay of shock geometry and different seed populations (Tylka et al. 2005)
- Direct injection from the flare (Klein & Trottet 2001; Cane et al. 2003)